

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Focazio et al.	§
Serial No.: Not Assigned	§ Group Art Unit: Not Assigned
Filed: _____	§
	§ Examiner: Not Assigned
	§
For: Method and Apparatus for Splitting	§
and Merging Request and Response Data	§
at Runtime	§
	§ Attorney Docket No.: AUS920040040US1

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 3/17/04

Respectfully submitted,

David Mims, Jr.

David A. Mims, Jr.
Registration No. 32,708
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, Texas 78757
(512) 823-0950

Form PTO-1449

**LIST OF PRIOR ART CITED
BY APPLICANT***(Use several sheets if necessary)*ATTORNEY DOCKET NO.
AUS920040040US1SERIAL NO.
Not AssignedAPPLICANT **Focazio et al.**

FILING DATE

GROUP ART UNIT **Not Assigned****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 6,356,907 B1	Mar. 12, 2002	Hopmann	707/10	Jul. 26, 1999
	AB 6,449,620 B1	Sep. 10, 2002	Draper et al.	707/102	Mar. 2, 2000
	AC 6,6,11,843 B1	Aug. 26, 2003	Jacobs	707/102	Aug. 27, 2001
	AD 6,418,448 B1	Jul. 9, 2002	Sarkar	707/104.1	Dec. 6, 1999
	AE 6,356,906 B1	Mar. 12, 2002	Lippert et al.	707/10	Jul. 26, 1999

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE
	AF Not Assigned AUS920030927US1	Focazio et al.	Method and Apparatus for Validating and Configuring Database Transaction Requests from Multiple Clients	Jan. 14, 2004
	AG Not Assigned AUS920030928US1	Focazio et al.	Method and Apparatus for Generating Query and Response Statements at Runtime from Generic Requests	

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.